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PTO/SB/01 (5-95)

Approved for use through 10/31/98 OMB 0651-0032

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Patent and Trademark Office

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

Declaration Submitted with Initial Filing OR Declaration Submitted after Initial Filing

Attorney Docket Number H 5098 PCT/US

First Named Inventor Naumann, Frank

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:
My residence, post office address, and citizenship are as stated below next to my name.
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention named:

INDOLE/INDOLINE BASED HYBRID DYES AND INDOLE/INDOLINE BASED HYBRID DYE INTERMEDIATE PRODUCTS

(Title of the Invention)

the specification of which

 is attached hereto

OR

 was filed on (MM/DD/YYYY) 01/03/2002 as United States Application Number or PCT InternationalApplication Number PCT/EP02/00013 and was amended on (MM/DD/YYYY) _____ (If applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO
101 00 938.0	Germany	01/10/2001	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

 Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
		<input type="checkbox"/>

Burden Hour Statement: This form is estimated to take 4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231

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Type a plus sign (+) inside this box **DECLARATION****Page 2**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(d) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP02/00013	01/03/2002	

Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.
As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

<input type="checkbox"/> Firm Name	<input type="checkbox"/> Customer Number or label		
OR			
<input checked="" type="checkbox"/> List Attorney(s) and/or agent(s) name and registration number below.			
Name	Registration Number	Name	Registration Number
Stephen D. Harper Glenn E. J. Murphy Steven C. Bauman Gregory M. Hill Mary K. Cameron	33,243 33,539 33,832 31,369 34,789		

<input type="checkbox"/> Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.			
Please direct all correspondence to:			
<input checked="" type="checkbox"/> Customer Number or label	00423	OR	<input type="checkbox"/> Full in correspondence address below
Name	Gregory M. Hill		
Address	Henkel Corporation		
Address	2500 Renaissance Blvd, Suite 200		
City	Gulph Mills	State	PA
Country	USA	Telephone	-610-278-4964
		Fax	610-278-6548

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A person has been tried for this unsigned inventor				
Given Name	Frank	Middle Initial	Family Name	Naumann		Suffix e.g. Jr.
Inventor's Signature				Date	3/6/3	
Residence: City	Duesseldorf	State	Country	Germany	Citizenship	Germany
Post Office Address	Wupperstrasse 3					
Post Office Address						
City	40219 Duesseldorf	State	Zip	Country	Germany	Applicant Authority
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto						

(+/- inside this box

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Page 2

DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Detlef	Middle Initial		Family Name	Hollenberg	Suffix e.g. Jr.		
Inventor's Signature	<i>Detlef Hollenberg</i>				Date	22.5.03		
Residence: City	Erkrath	State		Country	Germany	Citizenship	Germany	
Post Office Address	Fliederweg 31							
Post Office Address								
City	40699 Erkrath	State	Zip	Country	Germany	Applicant Authority		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Horst	Middle Initial		Family Name	Hoeffkes	Suffix e.g. Jr.		
Inventor's Signature	<i>Horst Hoeffkes</i>				Date	22.5.03		
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany	
Post Office Address	Carlo-Schmid-Str. 113							
Post Office Address								
City	40595 Duesseldorf	State	Zip	Country	Germany	Applicant Authority		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	David	Middle Initial		Family Name	Rose	Suffix e.g. Jr.		
Inventor's Signature					Date			
Residence: City	Hilden	State		Country	Germany	Citizenship	Great Britain	
Post Office Address	Am Eichelkamp 223							
Post Office Address								
City	40723 Hilden	State	Zip	Country	Germany	Applicant Authority		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.		
Inventor's Signature					Date			
Residence: City		State		Country		Citizenship		
Post Office Address								
Post Office Address								
City		State	Zip	Country		Applicant Authority		
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US PATENT AND TRADEMARK OFFICE

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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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U S Department of Commerce Patent and Trademark Office		Attorney Docket Number
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		First Named Inventor
		Naumann, Frank
COMPLETE IF KNOWN		
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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below)
of the subject matter which is claimed and for which a patent is sought on the invention entitled
1-(4-CHLOROPHENYL)-4-METHYL-2-PYRIDYL-BENZODIAZEPINE-BASED HYDRO DYE

INDOLE/INDOLINE BASED HYBRID DYES AND INDOLE/INDOLINE BASED HYBRID DYE INTERMEDIATE PRODUCTS

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
101 00 938.0	Germany	01/10/2001			X

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DECLARATION

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U.S. Parent Application Number:	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
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<input type="checkbox"/> Firm Name _____	<input type="checkbox"/> Customer Number _____	or label _____	
<input checked="" type="checkbox"/> OR			
<input checked="" type="checkbox"/> List Attorney(s) and/or agent(s) name and registration number below.			
Name	Registration Number	Name	Registration Number
Stephen D. Harper	33,243		
Glenn E. J. Murphy	33,539		
Steven C. Bauman	33,832		
Gregory M. Hill	31,369		
Mary K. Cameron	34,789		

Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto

Please direct all correspondence to Customer Number _____ or label _____ 00423 OR Fill in correspondence address below

Name	Gregory M. Hill				
Address	Henkel Corporation				
Address	2500 Renaissance Blvd, Suite 200				
City	Gulph Mills	State	PA	Zip	19406
Country	USA	Telephone	-610-278-4964	Fax	610-278-6548

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Inventor's Signature				Date		
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Post Office Address						
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Inventor's Signature					Date			
Residence: City	Erkrath	State		Country	Germany	Citizenship	Germany	
Post Office Address	Fliederweg 31							
Post Office Address								
City	40699 Erkrath	State	Zip	Country	Germany	Applicant Authority		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Horst	Middle Initial		Family Name	Hoeffkes	Suffix e.g. Jr.		
Inventor's Signature					Date			
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany	
Post Office Address	Carlo-Schmid-Str. 113							
Post Office Address								
City	40595 Duesseldorf	State	Zip	Country	Germany	Applicant Authority		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	David	Middle Initial		Family Name	Rose	Suffix e.g. Jr.		
Inventor's Signature					Date	22/11/03		
Residence: City	Hilden	State		Country	Germany	Citizenship	Great Britain	
Post Office Address	Am Eichelkamp 223							
Post Office Address								
City	40723 Hilden	State	Zip	Country	Germany	Applicant Authority		
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name			Suffix e.g. Jr.	
Inventor's Signature					Date			
Residence: City		State		Country			Citizenship	
Post Office Address								
Post Office Address								
City		State	Zip	Country			Applicant Authority	
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